



3.11 APPROVED BREEDING REGISTRIES

The recording of progeny in the Australian Appaloosa Association Ltd Registry requires proof of parentage (see exception regarding geldings in rule 3.8) The following Breed Registries, subject to the qualifications herein, are the only approved registries:

Australian Appaloosa Association Ltd.

A.J.C. Registered or Australian Stud Book Thoroughbreds (See (iv) & (v) below)

Australian Stock Horse Society (See (i) & (v) below)

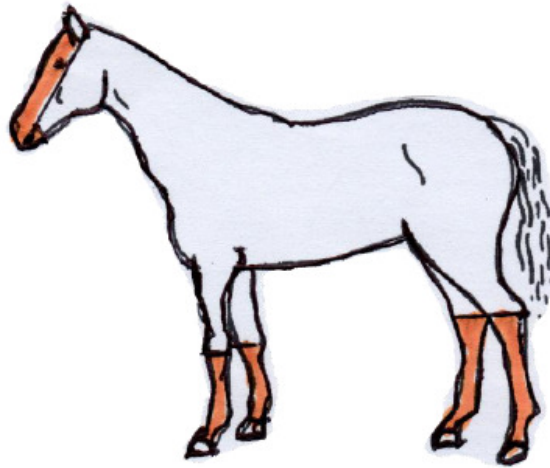
Arabian Horse Society of Australia (See (ii) & (v) below)

Australian Quarter Horse Association (See (iii) & (v) below)

Australian Warmblood Horse Association – Stud Book Only (See (v) below)

- (i) When using a Stock Horse outcross, members should ensure the horse has known, acceptable and proven breeding. Horses of unknown breeding are not acceptable. In addition the outcross or its direct sire or dam must not be known to contravene Rule 3.4 or any other provision of this section.
- (ii) Arab horses of UNKNOWN breeding (part bred) or Arab ponies are disallowed. The onus is on the owner of a part bred Arab to be able to prove by means of copies of registration certificates that their horse is eligible to be used in the AAA Ltd breeding program by establishing acceptable proven breeding in on of the approved registries. In addition the outcross or its direct sire or dam must not be known to contravene Rule 3.4 or any other provision of this section.
- (iii) Any resulting progeny from a registered Quarter Horse outcross who itself or its direct sire or dam, have notation on registration papers stating "This horse has white markings designated under AQHA Rules and Regulations as undesirable and uncharacteristic of the breed" or tests positive to the OLWS gene will not be eligible for registration with the AAA Ltd. In addition the out cross or its direct sire or dam must not be known to contravene Rule 3.4 or any other provisions of this section.
- (iv) When using an Australian Stud Book Thoroughbred or AJC Registered Thoroughbred outcross the breeder shall ensure the outcross or its direct sire or dam used must not be known to contravene Rule 3.4 or any other provision in this section.
- (v) As from 1st August 2011 further to the requirements above, ANY outcross horse who itself, or its direct sire or dam, displays any characteristics of description outlined below shall NOT be eligible for use in as an acceptable outcross for breeding Appaloosas.
 1. A face marking not contained within a line from the centre of the base of each ear to the nearest corner of the sides of the mouth and then turning at a right angle just below the jaw and running to a point beneath the jar to meet with the similar line from the opposite side of the face. (See diagram below)
 2. A leg marking not contained below the centre of the knees or point of the hocks. (See diagram below)
 3. In addition, there is an allowable single area of white marking with light skin underlying that is no greater than 1" (2.5cm) in diameter, which can be either joined to or free standing from the above described areas of white markings.
 4. Any horse described on its registration papers as Sabino, Overo, Frame, Splash, Tobiano, Tovero or any other term commonly considered to describe paint or pinto characteristics.

5. Any horse described as roan or having substantial area of roan or ticking which cannot be attributed to any Appaloosa genetics.
6. Is grey or has a grey parent.



- (vi) When using any outcross the **onus is on the breeder** to provide copies of all paperwork necessary to prove compliance with these rules. For outcross registries that do not have photographs on registration papers nor record all levels of excessive white, photographs will be required.

Note: It is recommended when using any outcross that the breeder request any DNA test results available, such as HERDA, HYPP, OLWS or Grey Gene.

- (vii) A horse may be de registered if it is found to be bred from a non-appaloosa parent who has or has any genetic lineage that contravenes Rule 3.4 or 3.8 and the breeder shall be subject to penalty under these rules.

Note: The power to deregister a horse shall include the power to deregister any progeny of that horse.

- (viii) If an application for registration of resulting progeny from an outcross mating shows indications of excessive undesirable white markings greater than those specified in rule (v) 1, 2 or 3 above, then such will be considered undesirable and excessive and registration will be refused. If the breeder can reasonable show that such excessive white has most likely resulted from the existing Appaloosa component of the breeding then the Board may permit registration of the progeny. If the outcross used is shown to be a horse excluded under these rules the resulting progeny will be registered.

3.12 GENETIC DISORDERS

1. HYPERKALAEMIC PERIODIC PARALYSIS DISEASE (HYPP)

- (i) All foals bred from horses known to carry the HYPP gene must be tested.
- (ii) Foals born after 1st August 2010 that test positive to HYPP N/H or H/H will not be registered with the AAA Ltd.
- (iii) Any existing horses registered with the AAA Ltd that have tested or do test HYPP N/H or H/H, must state this fact in ALL advertising pertaining to the horse.

2. HEREDITARY EQUINE REGIONAL DERMAL ASTHENIA (HERDA)

- (i) As of 1st August 2012 all Appaloosa stallions and mares whose pedigree traces to Poco Bueno used in any AAA Ltd breeding program are to provide evidence (by means of genetic testing or otherwise proven to be N/N by parentage verification) of their HERDA status for recording purposes by the AAA Ltd. Such results will be made available to all members. All Breeding stallions/mares that do not have their HERDA results on file by 1st August 2012 the following suspensions will apply: The stallion/mare will have no showing rights, therefore no show

points will be accepted, no transfers will be done, no Leases that involve this stallion/mare will be completed and no breeding returns that pertain to breeding as from 1st September 2012, will be accepted until such time that the Association receives the HERDA results for that stallion/mare. Therefore no progeny resulting from the breeding of this stallion/mare after 1st September 2012 will be eligible for registration. Once results have been received, all rights and privileges for that stallion/mare will be reinstated.

- (ii) As of 1st August 2012, NO outcross Stallion or mare that is N/Hr or Hr/Hr for HERDA will be accepted as eligible for any breeding purpose by the AAA Ltd.
- (iii) As of 1st August 2013 all progeny bred from an AAA Ltd registered stallion or mare whose pedigree traces back to Poco Bueno and have not been proven to be negative for the HERDA gene must be tested for HERDA and the status recorded by the AAA Ltd and be made available to all members.

3.13 ARTIFICIAL INSEMINATION.

Artificial insemination may be used and the resulting foals are eligible for registration with the AAA Ltd if all registration requirements as per Division 3 Rules and the following conditions are met:

- (a) A properly completed and signed Stallion Service Certificate is filed with the AAA Ltd indicating the mare has been bred by Artificial Insemination.
- (b) A matching Mare Owners copy of the properly completed and signed Stallion Service Certificate must accompany the Application for Registration of the resulting foal.
- (c) The maximum number of straws to be used after the death of a stallion shall be 500.
- (d) Once a stallion has been gelded, no further frozen semen shall be used beyond the season of his gelding.

3.31 NON APPALOOSA STALLIONS/MARES IN BREEDING PROGRAMME - APPROVED BREEDING REGISTRIES (See Rule 3.11)

If the stallion/mare is not a registered Appaloosa then a photocopy of the stallions/mares current registration certificate issued by an approved breed registry must be submitted with the application for registration of resulting foal. A Certificate of Service must be lodged with AAA Ltd office with appropriate fee by 31st July in that breeding year.

3.34 STALLION OWNERS

Effective 1 August 1994, all AAA Ltd. registered stallions 15yrs and under intended for breeding must be DNA tested.

Service Certificate books are available to owners/lessee of a Registered Stallion from AAA Ltd Office (See Schedule of Fees).

For all mares bred to stallions used in the AAA Ltd breeding programme, Certificate of Service, in triplicate, must be issued by the owner or lessee, showing the mare's breed name and number, Colour and markings, owner and address, stallions name and number, and where located, dates of service and authorised signatures.

Stallion Classification - a penalty of up to \$1000 maximum fine as determined by the Board of Directors, for breeding with a Stallion prior to official classification as a Stallion.

All owners/lessee of registered stallions must forward to the AAA Ltd the Service Certificate original for all mares bred to the stallion during the year. Until such certificates are filed with the AAA Ltd, the resulting foals cannot be registered.

A Statutory Declaration giving permission to an authorised agent to sign Service Certificates on behalf of the Owner/Lessee must be lodged with the Association's office by 31st July in that breeding year.

If a mare is exposed to two or more stallions in less than 45 days, a DNA test will be required before the resulting foal can be registered, with the owners paying the cost of the DNA test. If the correct sire cannot be determined as a result of the DNA test, the name of each stallion must be given as the sire of any resulting foals and a stallion breeding certificate shall be supplied with respect to the services of each stallion. The charge for DNA testing will be the actual and necessary cost of performing each test.

In any case of doubt regarding the true parentage or identification of any animal, DNA tests may be required, and taking into consideration the results of such tests and/or such other information as may be available, the Board may authorise such corrections in the records as may be determined to be necessary or appropriate. In all proceedings concerned with or affecting registrations and records the burden of resolving any doubt as to the true parentage or identification of an animal shall be upon the applicant or owner.

The duplicate service certificate must accompany the resulting foal's application for registration, where it will be checked with the original. Only owners of a registered, classified stallion are eligible to use Service Certificates.

ONE SERVICE BOOK PER STALLION ONLY. (Two or more stallions cannot use same Service Book).
NO PERSON SHALL MAKE ANY ALTERATION OF INFORMATION ON A SERVICE CERTIFICATE.

A Service Certificate on which information has been changed will not be acceptable for the purpose of registering a horse with the Association.

No Service Certificate will be accepted for stallions unless they are classified and registered with the Australian Appaloosa Association Ltd and the owner or lessee is a financial member.

The stallion owner or lessee must send to the AAA Ltd office, service certificates for all mares exposed to the stallion whether tested in foal or not. Late certificates after July incur an extra fee - See Schedule of Fees.

The stallion owner or lessee must state full date and year mares are bred. Paddock bred is not sufficient. When paddock bred must state date when mare is placed in paddock and date she is taken out.

If certificates and fees are not in AAA Ltd office by 31st July each year and owners do not comply with AAA Ltd rules, their name may be listed in the National Appaloosa Journal and subject to suspension by AAA Ltd.

Note: Mare nominations for weanling Futurity are automatic with lodgement of Mare/Stallion returns received before 31st July each year.

3.35 UPGRADE TO STALLION

All colts Australian bred and imported must be veterinary inspected before upgrading to stallion.

The basis of veterinary inspection is designed to determine the eligibility of a stallion as a registered sire for breeding.

Colts are eligible for inspection from 1st August in the season in which they turn 2 years old.

Colts will be eligible for acceptance into the registry upon production of a certificate supplied by the Association and signed by a qualified veterinarian stating that the horse is:

- (a) Not a cryptorchid.
- (b) Not a monorchid
- (c) That both testicles are descended and evenly sized.
- (d) Not parrot mouthed.
- (e) Shows no visible signs of hereditary unsoundness,
- (f) At least fourteen hands high unshod measured at the wither
- (g) All colts must be DNA tested before upgrading to stallion papers. DNA testing fee to be paid to the office prior to Veterinary inspection forms being sent to the owner,

The above certificate is to be accompanied by a coloured photograph of the horse signed by the veterinarian on the day of inspection verifying the identity of the horse.

The signed certificate and photograph should then be forwarded to the Association office together with the required e.g., a further four (4) recent photographs (2 nearside, 2 offside) of the horse and the certificate of colt recording. A registration certificate will be issued when all is in order.

Note: Instant & Computerised photos not allowed. (Digital photos professionally printed will be accepted).